

12-21-00

JC971 U.S. PTO  
12/19/00

PATENT APPLICATION  
Docket No.: 43605-00023USPX  
85 348 a/sk

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JC915 U.S. PTO  
09/741122  
12/19/00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of: Ulf Mikael RONSTRÖM

For: CACHING OF OBJECTS IN DISK-BASED DATABASES

BOX PATENT APPLICATION  
Commissioner of Patents  
Washington, D.C. 20231

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| CERTIFICATE OF MAILING BY EXPRESS MAIL   |
| "EXPRESS MAIL" Mailing Label No. EL058724921US   |
| Date of Deposit: <i>Dec. 19, 2000</i>  |
| I hereby certify that the paper(s) and/or fee(s) listed below are attached hereto and are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents, Washington, D.C. 20231 |
| Type or Print Name: DOROTHY MACKINNON  |
| <i>Dorothy Mackinnon</i><br>Signature  |

Sir:

**PATENT APPLICATION TRANSMITTAL LETTER**

Transmitted herewith for filing, please find the following:

1. (XX) The specification of the above-referenced patent application is enclosed herewith ( 42 page(s) including claim(s) and Abstract).
  
2. (XX) 7 sheet(s) of:  
       informal drawing(s) is (are) enclosed herewith.  
  X   formal drawing(s) is (are) enclosed herewith.

3. (X ) The fees for this application have been calculated and included as shown below (Prior to calculating the fees, please enter any enclosed preliminary amendment.):

|  | NO. FILED    | NO. EXTRA | RATE         | FEE              |
|--|--------------|-----------|--------------|------------------|
| <b>BASIC FEE</b>                               |              |           |              | <b>\$710</b>     |
| <b>TOTAL CLAIMS</b>                            | <b>26-20</b> | <b>6</b>  | <b>\$18</b>  | <b>108</b>       |
| <b>INDEPENDENT CLAIMS</b>                      | <b>8-3</b>   | <b>5</b>  | <b>\$80</b>  | <b>400</b>       |
| <b>MULTIPLE DEPENDENT CLAIM(S) PRESENTED</b>   |              |           | <b>\$270</b> |                  |
| <b>TOTAL FEES:</b>                             |              |           |              | <b>\$1218.00</b> |
| <b>Deduct One-Half for Small Entity Status</b> |              |           |              |                  |
| <b>Assignment Recordal Fee</b>                 |              |           | <b>\$40</b>  |                  |
| <b>TOTAL AMOUNT DUE:</b>                       |              |           |              | <b>\$1218.00</b> |

4.   X   A check in the amount of \$1218.00 is enclosed herewith. Please charge any deficiency or credit any overpayment to Deposit Account No.   10-0447  .

\_\_\_\_\_ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_. Please charge any deficiency or credit any overpayment to Deposit Account No. \_\_\_\_\_.

5. (X ) An oath or declaration is enclosed herewith that is:

  X   Unsigned  
 \_\_\_\_\_ Newly executed per 37 CFR 1.63(a) and (b).  
 \_\_\_\_\_ A copy of the executed declaration filed in the prior application upon which priority is based, showing the signature or an indication thereon that it was signed; and:  
 \_\_\_\_\_ This application is being filed fewer than all of the inventors named in the prior application and it is requested that the following name or names be deleted from the list of inventors in the prior application for this continuation or divisional application:

\_\_\_\_\_ The prior application was accorded status under 37 CFR § 1.47 and is accompanied by:

\_\_\_\_\_ A copy of the decision granting a petition to accord Sec. 1.47 status to the prior application (unless all of the inventors have or legal

representatives have filed an oath or declaration to join in the prior application).

— A copy of the subsequently executed oath(s) or declaration(s) filed by the inventor(s) or legal representative(s) that have subsequently joined in the prior application.

6. (X ) The power of attorney for this application:

— is appointed in the newly executed Oath or Declaration submitted herewith.

X is appointed by the power of attorney enclosed herewith.

— remains the same as originally in the parent application.

— was changed during the prosecution of the parent application and a copy of the change in the power of attorney is enclosed herewith.

7. (XX) The correspondence address for this application shall be:

Richard J. Moura, Esq.  
Jenkins and Gilchrist, P.C.  
3200 Fountain Place  
1445 Ross Ave.  
Dallas, Texas 75202

X which is a new correspondence address or a change therein.

— which is the same as originally in the parent application.

— which is the change in the correspondence address that was filed during the prosecution of the parent application.

8. (X ) Priority is hereby claimed under 35 USC 119 and 172 to the following foreign applications:

| Country | Serial No.      | Date              |
|---------|-----------------|-------------------|
| Germany | DE 199 61 499.7 | December 20, 1999 |
| _____   | _____           | _____             |
| _____   | _____           | _____             |

and:

— A certified copy of each application is enclosed herewith.

— A certified copy of each application was filed in prior application Serial No. \_\_\_\_\_.

9. ( ) A verified statement claiming small entity status under 37 CFR 1.9 and 1.27:

— is enclosed herewith.

— was filed in parent application Serial No. \_\_\_\_\_, and such status remains unchanged and is requested for this application.

10. ( ) A preliminary amendment is enclosed herewith.

11. ( ) An Information Disclosure Statement with Modified PTO Form 1449 and a copy of the cited references are enclosed herewith.

12. ( ) An Assignment of the invention to Telefonaktiebolaget LM Ericsson  
(publ) with cover sheet and recordation fee is enclosed herewith  
for recordation by the Assignment Branch.

13. (XX) The Commissioner is hereby authorized to charge payment, or to  
credit any overpayment, of the following fees associated with this  
filing or during the pendency of this application to Deposit Account  
No. 10-0447.

X Any patent application filing fees under 37 CFR 1.16.


X Any patent application processing fees under 37 CFR 1.17.

— The issue fee under 37 CFR 1.18 at or before mailing of the  
Notice of Allowance, pursuant to 37 CFR 1.311(b).

14. ( ) Other (specify): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

15. (XX) Confirmation Postcard.

Respectfully submitted,

  
Richard J. Moura  
Reg. No. 34,883

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